

§ 279.1 Form ADV, for application for registration of investment adviser and for amendments to such registration statement.

This form shall be filed pursuant to Rule 203-1 (§ 275.203-1 of this chapter) as an application for registration of an investment adviser pursuant to sections 203(c) or 203(g) of the Investment Advisers Act of 1940, and also as an amendment to registration pursuant to Rule 204-1 (§ 275.204-1 of this chapter).

[44 FR 21008, Apr. 9, 1979]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form ADV, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.2 Form ADV-W, notice of withdrawal from registration as investment adviser.

This form shall be filed pursuant to Rule 203-2 (§ 275.203-2 of this chapter) by a registered investment adviser as a notice of withdrawal from registration as such under the Investment Advisers Act of 1940.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form ADV-W, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.3 Form ADV-S, annual report of registered advisers.

This form shall be filed pursuant to Rule 204-1(c) (§ 275.204-1 of this chapter) as an annual report of registered investment advisers.

[44 FR 21008, Apr. 9, 1979]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form ADV-S, see the List of CFR Sections Affected in the Finding Aids section of this volume.

EFFECTIVE DATE NOTE: At 61 FR 68503, Dec. 27, 1996, § 279.3 was stayed indefinitely.

§ 279.4 Form 4-R, irrevocable appointment of agent for service of process, pleadings and other papers by individual nonresident investment adviser.

This form shall be filed pursuant to Rule 0-2 (§ 275.0-2 of this chapter) by each individual nonresident investment adviser who is registered or applying for registration with the Commission as an investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 4-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.5 Form 5-R, irrevocable appointment of agent for service of process, pleadings and other papers by corporation nonresident investment adviser.

This form shall be filed pursuant to Rule 0-2 (§ 275.0-2 of this chapter) by each corporation nonresident investment adviser, and by each unincorporated nonresident investment adviser not organized as a partnership, which is registered or applying for registration with the Commission as an investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 5-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.6 Form 6-R, irrevocable appointment of agent for service of process, pleadings and other papers by partnership nonresident investment adviser.

This form shall be filed pursuant to Rule 0-2 (§ 275.0-2 of this chapter) by each partnership nonresident investment adviser which is registered or applying for registration with the Commission as an investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 6-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.7 Form 7-R, irrevocable appointment of agent for service of process, pleadings and other papers by nonresident general partner of investment adviser.

This form shall be filed pursuant to Rule 0-2 (§ 275.0-2 of this chapter) by each nonresident general partner of an investment adviser, and by each nonresident "managing agent" of an unincorporated investment adviser as defined in said Rule 0-2, which is registered or applying for registration with the Commission as investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 7-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.